

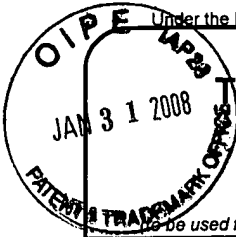
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PTO/SB/21 (01-08)

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Total Number of Pages in This Submission

11

Application Number	09/923,035
Filing Date	August 6, 2001
First Named Inventor	John Nafeh
Art Unit	3692
Examiner Name	Elda G. Milef
Attorney Docket Number	PA3817US

## ENCLOSURES (Check all that apply)

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Remarks Total page number does not include postcard.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carr & Ferrell LLP	Customer No. 22830
Signature	<i>K. Brian Bathurst</i>	
Printed name	K. Brian Bathurst	
Date	January 28, 2008	Reg. No. 51,442

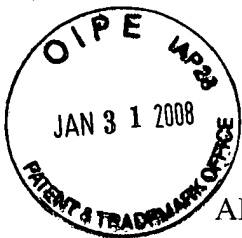
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IN THE UNITED STATES PATENT AND TRADEMARK  
OFFICE

APPLICANTS: John Nafeh and Kenton K. Yee  
SERIAL NO.: 09/923,035  
FILING DATE: August 6, 2001  
TITLE: Methods and Apparatus for Formulation, Initial Public or Private  
Offering, and Secondary Market Trading of Risk Management  
Contracts  
EXAMINER: Milef, Elda G.  
ART UNIT: 3692  
CONF. NO.: 8692  
ATTY.DKT.NO.: PA3817US

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**RESPONSE TO INTERVIEW SUMMARY**

Dear Examiner Milef:

In response to the Interview Summary mailed on December 28, 2007, the  
following amendments, interview substance, and remarks are provided: